PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages In This Submission:

٤	95, no persons are required to respond to a collection of information unless it displays a valid OMB control number.						
	Application Number	10/632,764					
	Filing Date	08/01/2003					
	First Named Inventor	Dar et al.					
	Group Art Unit	1713					
	Examiner Name	Unknown					
100	Attorney Docket Number	2042.VIN					

ENCLOSURES (check all that apply)											
☐ Fee Transmittal Form	☐ Assignment Papers	After Allowance Communication									
☐ Fee Attached	(for an Application)	to Group									
☐ Amendment / Reply	☐ Drawing(s)	☐ Appeal Communication to									
☐ After Final	☐ Licensing-related Papers	Board of Appeals and Interferences									
☐ Affidavits/declarations(s)	☐ Petition	☐ Appeal Communication to									
☐ Extension of Time Request	☐ Petition to Convert to a	Group (Appeal, Notice, Brief, Reply									
☐ Express Abandonment Request	Provisional Application	Brief)									
☑ Information Disclosure Statement	☐ Power of Attorney, Revocation	☐ Proprietary Information									
☐ Certified Copy of Priority Doc(s)	Change of Correspondence	☐ Status Letter									
☐Response to Missing	Address	☑ Other Enclosure(s) (please									
Parts/Incomplete Application	☐ Terminal Disclaimer	identify below):									
☐ Response to Missing Parts	□ Request for Refund	Postcard Receipt, PTO-1449									
Under 37 CFR 1.52 or 1.53	☐ CD, Number of CD(s)	and 8 references, Int'l Search									
	, ,	Report									
	Remarks										
	<u>rternarks</u>										
	OF APPLICANT, ATTORNEY	, OR AGENT									
Firm Charles W	Almor Don No. 26 724										
Individual Name - Charles VV.	Almer, Reg. No. 36,731										
Signature –											
Date - December 1	0, 2003										
	CERTIFICATE OF MAILING										
	s being deposited with the United States Po ommissioner for Patents, P.O. Box 1450, A										
class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: 12/10/03.											
Typed or Printed Name Debotal	Mouzon )	Date 12/10/03									
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary defending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chlef Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231											



## PATENT APPLICATION

Attorney Docket No. 2042.VIN

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANTS:** 

DAR et al.

**SERIAL NO.:** 

10/632,764

**GROUP ART UNIT:** 

1713

FILED:

08/01/2003

**EXAMINER:** 

UNKNOWN

**ENTITLED:** 

DISPERSIONS CONTAINING LIVING RADICALS

#### CERTIFICATE of MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence and any attachments referred to therein is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 10 December 2003.

Deboral Mouzon

Mail Stop Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

### **INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In compliance with 37 C.F.R. §§ 1.97 and 1.98, and in fulfillment of the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that the references listed on the accompanying form PTO-1449 be made of record and considered with respect to the above referenced United States patent application. A copy of each reference is enclosed.

The references were cited in the International Search Report ('ISR') of the corresponding PCT Patent Application. A copy of the ISR is also enclosed. Each item contained herein was cited in the ISR not more than three (3) months prior to the filing of this information disclosure statement.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information is, or is considered to be, material to patentability, or that the information is analogous to the subject matter of the present invention, or that no other material information exists. Further, the filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Written notification that the enclosed references have been considered in their entirety by return of a copy of the enclosed PTO-1449 form, completed by the Examiner, is respectfully requested.

Respectfully submitted,

Charles W. Almer

Attorney for Applicants

Reg. No. 36,731

Dated: DEC. 10, Jour

NATIONAL STARCH AND CHEMICAL

COMPANY 10 Finderne Avenue Bridgewater, New Jersey 08807 Phone 908.685.5205 Fax 908.707.3706

-2-



SHEET 1 OF 1

Form PTO-1449  U.S. Department of Commerc Patent and Trademark Offic  LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)			Attorney	Attorney Docket N . 2042.VIN				Serial No. 10/632,764		
			•							
			Applicant	Applicant: DAR et al.						
			Filing Dat	Filing Date: August 01, 2003				Group 1713		
	,	U.S. PA	TENT DOCUMENTS							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Ap	Date if pro-		
	AA	5,173,551	12/1992	Caneba, G. T.	526	208				
	AB	5,455,315	10/1995	Paine et al.	526	79				
	AC	5,627,248	05/1997	Koster et al.	526	217				
,	AD	5,677,388	10/1997	Koster et al.	525	314				
	AE									
	AF									
<del></del>	AG									
	AH									
	Al									
	· AJ		,							
	AK									
		FOREIGN	PATENT DOC	UMENTS						
·		Document Number	Date	Country	Class	Subclass		Transla- tion		
							Yes	No		
	AL	WO 00/35962	06/2000	PCT	C08F	4/00		Х		
	AM	WO 03/059960	07/2003	PCT	C08F	2/16	Х	ļ		
	AN	WO 98/30601	07/1998	PCT	C08F	4/00	X	<u> </u>		
	AO									
		OTHER REFERENCES (Including		<u> </u>						
	AP	XP-002242651, Bo Wang, Yadun Free Radical Retrograde-Precip Wiley and Sons Inc. New York, U	itation Polyme	erization Process".	Journal of	ymerization Cor Applied Polyme	ntrol Throu er Science	igh the , John		
***	AQ				. <u>-</u>					
	AR									
	AS							<del></del>		
EXAMINER			DATE CON	SIDERED						
EXAMINER:	nitial if	reference c nsidered, whether or not on not contain and n t c nsidered. Include copy	itation is in co	onf rmance with MF with next communic	PEP 609; Dration t app	aw line through licant.	citation if	not in		